	<u> </u>	DATE VIOLENCE DE LA CONTROL DE		
(1390 REV. 5-93) US DEPT. OF COMMERCE	PATENT & TRADEMARK OFFICE	A PRNEY'S DOCKET NUMBER 107976		
TRANSMITTAL L UNITED S DESIGNATED/EL (DO/EO/US) CONCI UNDER 35 I	STATES ECTED OFFICE ERNING A FILING	U.S. APPLICATION NO. (if known, sec 37 C.F.R.1.5) 09/701,243		
INTERNATIONAL APPLICATION NO. PCT/FR99/01247	INTERNATIONAL FILING DATE May 27, 1999	PRIORITY DATE CLAIMED May 27, 1998		
TITLE OF INVENTION METHOD FOR AMPLIFYING AT LEAST	ONE SPECIFIC NUCLEOTIDE SEQUE	NCE, AND PRIMERS USED		
APPLICANTS FOR DO/EO/US Bruno MOUGIN et al.				
Applicant herewith submits to the Uni	ted States Designated/Elected Office	e (DO/EO/US) the following items and other		
information:  1.	n of items concerning a filing under 3	35 U.S.C. 371.		
2.	SEQUENT submission of items cond	cerning a filing under 35 U.S.C. 371.		
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).				
A proper Demand for Interrclaimed priority date.	national Preliminary Examination was	s made by the 19th month from the earliest		
<ul> <li>A copy of the International Application as filed (35 U.S.C. 371(c)(2))</li> <li>a.  is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b.  has been transmitted by the International Bureau.</li> <li>c.  is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ul>				
6. A translation of the Internation	tional Application into English (35 U.	S.C. 371(c)(2)).		
<ul> <li>7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</li> <li>a. are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. have been transmitted by the International Bureau.</li> <li>c. have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. have not been made and will not be made.</li> </ul>				
8.	ments to the claims under PCT Articl	le 19 (35 U.S.C. 371(c)(3)).		
9.	e inventor(s) (35 U.S.C. 371(c)(4)).			
10. A translation of the annexe (35 U.S.C. 371 (c)(5)).	s to the International Preliminary Exa	amination Report under PCT Article 36		
Items 11. to 16. below concern other document(s) or information included:  11.				
12. An assignment document f included.	or recording. A separate cover shee	et in compliance with 37 CFR 3.28 and 3.31 is		
13. A FIRST preliminary am	endment.			
☐ A SECOND or SUBSEC	QUENT preliminary amendment.			
14. A substitute specificatio	n.			
15. Entitlement to small ent	ity status is hereby asserted.			
16. 🛛 Other items or informati	on: Copy of Notification of Defective	Response and Sequence Listing (paper and		

computer-readable copies).

U.S. APPLICATION NO. C.F.R. 1.5) 09/701,243		INTERNATION PCT/FR99/0	NAL APPLICATIO 01247	ON NO. ATTORNEY'S DOCKET NUMBER 107976		OCKET NUMBER
· 17.	ng fees are submitted:			CALCULATIONS		PTO USE ONLY
Basic Nati nal f (37 CFR 1.492(a)(1)-(5)):						
Search Report h	nas been prepared by	the EPO or J	IPO\$890.00			
International pre (37 CFR1.482).	eliminary examination	fee paid to U	SPTO \$710.00			
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))\$740.00						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,040.00						
(37 CFR 1.482)	eliminary examination and all claims satisfie	d provisions	of PCT			
Àrticle 33(2)-(4)				ļ		
	ENTER APPROPRIA			\$		
Surcharge of \$130.00 20 30 months 1.492(e)).	for furnishing the oath from the earliest clain			\$		
Claims	Number Filed	Number Extra	Rate		_	
Total Claims	- 20 =		X \$ 18.00	\$		
Independent Claims	- 3 =		X \$ 84.00	\$		
Multiple dependent cla	aim(s)(if applicable)		+ \$280.00	\$		
	TOTAL OF	ABOVE CAL	CULATIONS =	\$		
Reduction by 1/2 for filing by small entity, if applicable.			\$			
SUBTOTAL =				\$		
Processing fee of \$130.00 for furnishing the English translation later than 20 30 month from the earliest claimed priority date (37 CFR 1.492(f)).			\$		·	
		TOTAL NA	TIONAL FEE =	\$	•	
					Amount to be refunded	\$
					Charged	\$ .
<ul> <li>a.  Check No in the amount of \$ to cover the above fees is enclosed.</li> <li>b.  Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> <li>c.  The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.</li> </ul>						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO:						
OLIFF & BERRIDGE, PLC				V/// P	////	
P.O. Box 19928 Alexandria, Virginia 22320				AME: WHIE	m P. Berriage ON NUMBER: 3	<del></del> 30,024
Date: <u>December 18</u>	3 <u>, 2002</u>				A. Caramanica ON NUMBER: 5	

<b>*</b>		
(1390 REV. 5-93) US DEPT.	OF COMMERCE PATENT &	TRADEMARK OFFIC

### TRANSMITTAL LETTER TO THE **UNITED STATES DESIGNATED/ELECTED OFFICE** (DO/EO/US) CONCERNING A FILING **UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, sec 37 C.F.R.1.5) 09/701,243

107976

PRIORITY DATE CLAIMED May 27, 1998

CE AND PRIMERS USED

INTERNATIONAL APPLICATION NO. PCT/FR99/01247

stamped postcard receipt.

INTERNATIONAL FILING DATE May 27, 1999

TITLE OF INVENTION METHOD FOR AMPLIFYING AT LEAST ONE SPECIFIC NUCLEOTIDE SEQUENCE, AND PRIMERS USED

		ITS FOR DO/EO/US DUGIN et al.
Appl infor		
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2.	$\boxtimes$	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.
3.		This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.		A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))  a.  is transmitted herewith (required only if not transmitted by the International Bureau).  b.  has been transmitted by the International Bureau.  c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.		A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a.  are transmitted herewith (required only if not transmitted by the International Bureau).  b.  have been transmitted by the International Bureau.  c.  have not been made; however, the time limit for making such amendments has NOT expired.  d.  have not been made and will not be made.
8.		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
Item 11.	s 11	. to 16. below concern other document(s) or information included:  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.		A FIRST preliminary amendment.
		A SECOND or SUBSEQUENT preliminary amendment.
14.		A substitute specification.
15.		Entitlement to small entity status is hereby asserted.
16.	$\boxtimes_{F}$	Other items or information: Petition to Withdraw Holding of Abandonment; Copy of February 12, 2003, Patent Office Communication, Copies of Supplemental Preliminary Amendment, Sequence Listing (paper and

computer-readable copies) and Transmittal letter filed December 18, 2002 and Copy of December 18, 2002

U.S. APPLICATION NO. C.F.R. 1.5) 09/701,243		INTERNATION PCT/FR99/	ONAL APPLICATIO 01247	ON NO. ATTORNEY'S E 107976		DOCKET NUMBER	
17. The following	ng fees are submitted:			CALC	JLATIONS	PTO USE ONLY	
Basic Natio	Basic National fee (37 CFR 1.492(a)(1)-(5)):						
Search Report I	has been prepared by	the EPO or .	JPO\$890.00				
International preliminary examination fee paid to USPTO (37 CFR1.482)\$710.00							
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))\$740.00							
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(37 CFR 1.482)	eliminary examination and all claims satisfie	d provisions	of PCT				
	ENTER APPROPRIA	ATE BASIC	FEE AMOUNT =	\$			
Surcharge of \$130.00 20 30 months 1.492(e)).	for furnishing the oath from the earliest clain			\$			
Claims	Number Filed	Number Extra	Rate				
Total Claims	- 20 =		X \$ 18.00	\$			
Independent Claims	- 3 =		X \$ 84.00	\$			
Multiple dependent cla	aim(s)(if applicable)		+ \$280.00	\$			
	TOTAL OF	ABOVE CAL	CULATIONS =	\$			
Reduction by 1/2 for filing by small entity, if applicable.			\$				
SUBTOTAL =			\$				
Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 month from the earliest claimed priority date (37 CFR 1.492(f)).			\$				
		TOTAL NA	TIONAL FEE =	\$			
					Amount to be refunded	\$	
					Charged	\$	
<ul> <li>a.  Check No in the amount of \$ to cover the above fees is enclosed.</li> <li>b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> </ul>							
<ul> <li>c.</li></ul>							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
OLIFF & BERRIDGE, PLC P.O. Box 19928							
Alexandria, \	/irginia 22320		/N/		n ABerridge ON NUMBER: 3	30,024	
Date: <u>February 21,</u>	2003		NA RE	AME: Philip	A. Caramanica, NN NUMBER: 5	Jr. 1,528	

## PTO RECEIPT FOR FILING OF PAPERS

## The following papers have been filed:

PCT Transmittal (in duplicate), Supplemental Preliminary Amendment with copy of Notification of Defective Response and Sequence Listing (paper and computer-readable copies)

Name of Applicant: Bruno MOUGIN et al.

Serial No.: 09/701,243

Atty. File No.: 107976

Title (New Cases):

Sender's Initials: WPB:PAC/jam

136



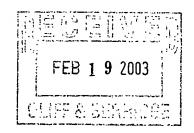
PATENT OFFICE DATE STAMP

COPY TO BE STAMPED BY PATENT OFFICE AND RETURNED BY MESSENGER



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231



William P. Berridge OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, VA 22320

In re Application of BRUNO MOUGIN, ET AL. Application No.: 09/701,243 PCT No.: PCT/FR99/01247 Int. Filing Date: 27 May 1999 Priority Date: 27 May 1998 Attorney's Docket No.: 107976

For: METHOD FOR AMPLIFYING AT LEAST ONE SPECIFIC NUCLEOTIDE SEQUENCE, AND PRIMERS USED

## Dear Mr. Berridge:

This is in response to your communication received 06 November 2002 requesting the status of U.S. application 09/701,243. The application is abandoned. A response to the "NOTIFICATION OF DEFECTIVE RESPONSE" (Form PCT/DO/EO/916 mailed 18 November 2002) is not of record in the application file. Attached is a copy of the Notification for your convenience.

Leonard Smith
PCT Legal Examiner
PCT Legal Office

JSF/LS:jf

Fereny Fleming
Paralegal Specialist
PCT Legal Office

Tel: (703) 308-6154 Fax: (703) 308-6459

Attachment: copy of Form PCT/DO/EO/916



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Contribusioner for Patients, Box PCT United States Patient and Trademark Office Washington, D.C. 2023 Washington, D.C. 2023

\*OC000000009105456\*

FIRST NAMED APPLICANT ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. 107976 Bruno Mougin 09/701,243 INTERNATIONAL APPLICATION NO. PCT/FR99/01247 PRIORITY DATE I.A. FILING DATE Oliff & Berridge 03/27/1998 05/27/1999 FEB 1 9 2003 PO Box 19928 Alexandria, VA 22320 **CONFIRMATION NO. 7333 371 FORMALITIES LETTER** 

Date Mailed: 11/18/2002

### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Small Entity Statement

Applicant's response filed 12/28/2000 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 10/01/2001 have not been completed.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

 The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHELBY J VIGIL

Telephone: (703) 305-3653

# PART 2 - OFFICE COPY

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	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
U.S. APPLICATION NUMBER NO.		107976
09/701,243	PCT/FR99/01247	

FORM PCT/DO/EO/916 (371 Formalities Notice)